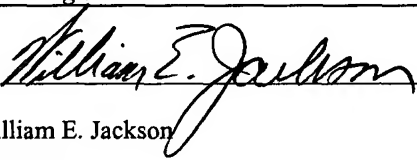


Customized FORM PTO-1390 (REV. 12-2001)		U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE		ATTORNEY DOCKET NO. P07472US00/WEJ	
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>				U.S. APPLICATION NO. (If known, see 37CFR 1.5) 10/018,401	
INTERNATIONAL APPLICATION NO. PCT/AU00/00696		INTERNATIONAL FILING DATE 06/20/2000		PRIORITY DATE CLAIMED 06/22/1999	
TITLE OF INVENTION: EPITAXIAL FILM					
APPLICANT(S) FOR DO/EO/US: TRAN et al.					
Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> <li>1. This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> 2. This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 USC 371.</li> <li>3. This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li>5. A <b>copy</b> of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> <li>a. is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. has been communicated by the International Bureau.</li> <li>c. is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. An English translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <li>a. is attached hereto.</li> <li>b. has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. Amendments to the claims of the International Appln. under PCT Article 19 (35 USC 371 (c)(3)) <ol style="list-style-type: none"> <li>a. are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. have been communicated by the International Bureau.</li> <li>c. have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. have not been made and will not be made.</li> </ol> </li> <li>8. An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> 9. An <b>oath</b> or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. An English translation of the annexes to the Int'l Pre. Exam. Report under PCT Article 36 (35 USC 371(c)(5)).</li> </ol> <p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>11. An <b>Information Disclosure Statement</b> under 37 C.F.R. 1.97 and 1.98.</li> <li><input checked="" type="checkbox"/> 12. An <b>Assignment</b> document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. A <b>First preliminary amendment</b>.</li> <li><input checked="" type="checkbox"/> 14. A <b>Second or Subsequent preliminary amendment</b>.</li> <li>15. A substitute specification.</li> <li>16. A change of power of attorney and/or address letter.</li> <li>17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 &amp; 35 USC 1.821-825.</li> <li>18. A second copy of the published international application under 35 USC 154(d)(4).</li> <li>19. A second copy of the English translation of the international application under 35 USC 154(d)(4).</li> <li><input checked="" type="checkbox"/> 20. Other items or information: <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> A copy of the Notification of Missing Requirements under 35 U.S.C. 371.</li> </ol> </li> </ol> <p><input checked="" type="checkbox"/> In the event that a petition for extension of time is required to be submitted herewith, and in the event that a separate petition does not accompany this response, applicant hereby petitions under 37 CFR 1.136(a) for an extension of time of as many months as are required to render this submission timely. Any fee is authorized in 17(c).</p>					
Date: 10 April 2002					

U.S. APPLICATION NO. (if known) 10/018,401	INTERNATIONAL APPLICATION NO. PCT/AU00/00696	ATTORNEY DOCKET NO. P07472US00/WEJ				
<b>X 21. The following fees are submitted:</b>  <b>Basic National Fee (37 CFR 1.492 (a) (1)-(5):</b>  _____ Neither Int'l Prelim. Exam. fee nor Int'l Search fee paid to USPTO <b>\$1040</b> _____ Search Report has been prepared by the EPO or JPO <b>\$ 890</b> _____ No Int'l Prelim. Ex. fee paid to USPTO but Int'l Search fee paid to USPTO <b>\$ 740</b> _____ International preliminary examination fee paid to USPTPO <b>\$ 710</b> _____ Int'l Prelim. Ex. fee paid to USPTO & all claims satisfied PCT Art. 33(1)-(4) <b>\$ 100</b>  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>		<b>CALCULATIONS PTO USE ONLY</b>          <div style="text-align: right;"><b>\$</b></div>				
<b>X</b> Surcharge of <b>\$130</b> for furnishing the oath or declaration later than [ ] 20 mos. from the earliest claimed priority date (37 CFR 1.492(e)). [ ] 30 mos. +		<b>\$ 130.00</b>				
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>				
Total Claims	17 - 20 =	X \$18 =				
Independent Claims	4 - 03 =	X \$84 =				
Multiple Dependent Claim(s) (if applicable)		+ \$280 =				
<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$ 214.00</b>				
<b>X</b> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		- <b>\$ 107.00</b>				
<b>SUBTOTAL =</b>		<b>\$ 107.00</b>				
Processing fee of <b>\$130</b> for furnishing the English translation later than [ ] 20 mos. from the earliest claimed priority date (37 CFR 1.492(f)). [ ] 30 mos. +		<b>\$</b>				
<b>TOTAL NATIONAL FEE =</b>		<b>\$ 107.00</b>				
<b>X</b> Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property		+ <b>\$ 40.00</b>				
<b>TOTAL FEES ENCLOSED =</b>		<b>\$ 147.00</b>				
04/15/2002 HNGUYEN 00000103 10018401  01 FC:254      65.00 OP 02 FC:965      42.00 OP		<div style="text-align: right;"><i>Amount to be</i></div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: right;"><i>Refunded</i></td> <td style="text-align: center;"><b>\$</b></td> </tr> <tr> <td style="text-align: right;"><i>Charged</i></td> <td style="text-align: center;"><b>\$</b></td> </tr> </table>	<i>Refunded</i>	<b>\$</b>	<i>Charged</i>	<b>\$</b>
<i>Refunded</i>	<b>\$</b>					
<i>Charged</i>	<b>\$</b>					
<b>X a.</b> A check in the amount of \$147.00 to cover the above fees is enclosed.  <b>b.</b> Please charge my Deposit Account No. 12-0555 in the amount of \$ to cover the above fees.  <b>X c.</b> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit overpayment to Deposit Account No. 12-0555.						
<b>Note:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
<b>SEND ALL CORRESPONDENCE TO:</b>  <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <b>William E. Jackson</b>            At the address (below) of <b>CUSTOMER NO. 00881:</b>   <b>LARSON &amp; TAYLOR, PLC</b>  <b>1199 NORTH FAIRFAX ST.</b>  <b>SUITE 900</b>  <b>ALEXANDRIA, VA 22314</b> </div> <div style="width: 45%; vertical-align: top;"> <b>Signature:</b>    <b>Name:</b> William E. Jackson  <b>Reg. No.:</b> 24,016  <b>Phone No.:</b> 703-739-4900  <b>Date:</b> 10 April 2002         </div> </div>						